

932.1353

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: PUIG TORRES, Salvador et al.  
Serial No.: Not yet known  
Filed: Herewith  
For: PROCEDURE FOR PREPARING 11-(4-[2-(2-HYDROXYETHOXY)ETHYL]-1-PIPERAZINEYL)-DIBENZO [B,F] [1,4] THIAZEPINE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 30, 2006

Dear Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicant submits herewith an information disclosure citation which lists references of which the applicant is aware. A copy of each of the references is also submitted herewith.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the publications called to the examiner's attention herein, applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.


Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicant respectfully requests that the examiner independently determine those items which the examiner would consider the most pertinent of all the references cited herein.

Applicant respectfully requests that the references be considered and made of record.

This Information Disclosure Statement is being filed simultaneously with the filing of the application and therefore no fee is due. However, in the event that a fee is required, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 500518.

Respectfully submitted,

By:   
Noam R. Pollack  
Reg. No. 56,829

Encls. PTO-1449  
7 References  
International Search Report

Steinberg & Raskin, P.C.  
1140 Avenue of the Americas, 15th Floor  
New York, NY 10036-5803  
Telephone: (212) 768-3800  
Facsimile: (212) 382-2124  
E-mail: pto@steinberggraskin.com

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| FORM PTO-1449<br>(REV. 7-80)   |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTY. DOCKET NO.: 932.1353                 |  | SERIAL NO.: Not yet known<br><br><div style="font-size: 1.5em; font-weight: bold;">10/566413</div> |  |
| <b>LIST OF PRIOR ART CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) |  |  |  | APPLICANT(S): PUIG TORRES, Salvador et al. |  |  |  |
|  |  |  |  | FILING DATE: Herewith                      |  | GROUP: Not yet known   |  |

| U.S. PATENT DOCUMENTS |    |                 |      |      |       |          |                               |  |  |
|-----------------------|----|-----------------|------|------|-------|----------|-------------------------------|--|--|
| *EXAMINER<br>INITIAL  |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |  |
|                       | AA |                 |      |      |       |          |                               |  |  |
|                       | AB |                 |      |      |       |          |                               |  |  |
|                       | AC |                 |      |      |       |          |                               |  |  |
|                       | AD |                 |      |      |       |          |                               |  |  |
|                       | AE |                 |      |      |       |          |                               |  |  |
|                       | AF |                 |      |      |       |          |                               |  |  |
|                       | AG |                 |      |      |       |          |                               |  |  |
|                       | AH |                 |      |      |       |          |                               |  |  |
|                       | AI |                 |      |      |       |          |                               |  |  |
|                       | AJ |                 |      |      |       |          |                               |  |  |
|                       | AK |                 |      |      |       |          |                               |  |  |

| FOREIGN PATENT DOCUMENTS |    |                 |      |             |       |          |             |    |  |
|--------------------------|----|-----------------|------|-------------|-------|----------|-------------|----|--|
|                          |    | DOCUMENT NUMBER | DATE | COUNTRY     | CLASS | SUBCLASS | TRANSLATION |    |  |
|                          |    |                 |      |             |       |          | YES         | NO |  |
|                          | AL | 0 2 4 0 2 2 8   |      | EPO         |       |          | X           |    |  |
|                          | AM | 0 1 5 5 1 2 5   |      | WO          |       |          | X           |    |  |
|                          | AN | 0 2 8 2 2 3 6   |      | EPO         |       |          | X           |    |  |
|                          | AO | 9 9 0 6 3 8 1   |      | WO          |       |          | X           |    |  |
|                          | AP | 4 2 2 7 9 3     |      | Switzerland |       |          | X           |    |  |

| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
|--|--|--|
| AQ   | WARAWA E J ET AL.: "Behavioral approach to nondyskinetic dopamine antagonists: Identification of Seroquel" JOURNAL OF MEDICINAL CHEMISTRY, AMERICAN CHEMICAL SOCIETY. WASHINGTON, US, vol. 44, 1 February 2001 (2001-02-01), pages 372-389, XP002213291 ISSN: 0022-2623. |  |
| AR   | LAMBERT, T.N. ET AL.: "Synthesis of 3-Hydroxy-2-pyridinone Derivatives of 4-tert-Butylcalix[4]areness: A New Class of Selective Extractants of Actinide(IV) Ions" JOURNAL OF ORGANIC CHEMISTRY, vol. 64, 1999, pages 6097-6101, XP002317244.                             |  |
| AS   |  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.